



Zürich, January 2023

## FINSA Client Information

Based on the legal requirements of Art. 8ff. of the Financial Services Act (FINSA), we would like to supply you with this information sheet which provides an overview of Crypto Consulting AG (hereinafter referred to as the «financial institution») and its services.

### **Company Information**

Crypto Consulting AG  
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8008 Zürich

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The company was established in 2018 and became a financial institution in 2023.

Supervisory authority: The financial institution is supervised by the Swiss Financial Market Supervisory Authority FINMA to carry out their professional activities as asset managers. The authorization status can be found on the FINMA homepage (<https://www.finma.ch/en/finma-public/authorised-institutions-individuals-and-products/>).

Audit firm: FINcontrol Suisse AG, Zug.

Ombudsman: The protection and long-term satisfaction of our clients have top priority. Should complaints arise during our consultations, in a first step, please feel free to contact us at any time so that we can find a solution together for your request. If this does not succeed, you have the legal possibility of contacting the Swiss ombudsman's office for initiation of a free conciliation procedure. The financial institution is affiliated with Finanzombudsstelle Schweiz (FINOS), Talstrasse 20, 8001 Zürich

### **Information on the offered financial services**

The financial institution exclusively provides asset management service to SwissRex Crypto Fund Ltd.

Furthermore, the financial institution acts as a distributor for SwissRex Crypto Fund and Certificate, exclusively to professional and institutional investors. However, investors investing in AMC's distributed by the financial institution are not and will not become clients of the financial institution. The financial institution does not guarantee any yield nor performance of investment activities. The investment activity can therefore lead to an appreciation or a depreciation in value.

### **Client segmentation**

Financial service providers are required to classify their customers into a client segmentation according to the law and adhere to the respective code-of-conduct. The Financial Services Act provides for «retail customers», «professional customers» and «institutional customers» segments. The financial institution only distributes to professional and institutional clients with domicile or registered office in



Switzerland within the meaning of art. 10 para. 3 and 3ter CISA who waive the obligation to document and render account.

### **Information on risks and costs**

General risks associated with financial instruments transactions The asset management services involve financial risks. The financial institution only accepts institutional investors and professional investors who have expressly waived the information obligation according to FinSA. However, the brochure «Risks associated with Financial Instruments Transactions» can be found at [www.swissbanking.org](http://www.swissbanking.org). Clients of the financial institution may contact Crypto Consulting AG at any time if they have any further questions.

#### Risks associated with the offered services

For a description of the various risks that may arise from the investment strategy for clients' assets, please refer to the relevant term sheets. Crypto investments are associated with high risks, a total loss is possible. The risks associated with the purchase of financial products are detailed in the Offering Memorandum which you will receive from the financial institution.

#### Information on costs

A fee is charged for the services rendered, which is usually calculated on the assets under management and/or on a performance basis. For more detailed information, please refer to the relevant term sheets.

### **Information about relationships with third parties**

In connection with the financial services offered by the financial institution, economic ties may exist with third parties. The acceptance of payments from third parties as well as their treatment are regulated in detail and comprehensively in the respective term sheets.

### **Conflicts of Interest**

The financial institution takes the necessary precautions to avoid conflicts of interest in its business activities and to protect you from disadvantages. If a conflict of interest cannot be avoided, such conflict will be disclosed to you and the appropriate action will only be taken with your consent.

Measures to avoid conflicts of interest: front- and parallel-running of crypto tokens is prohibited, disclosure of employee wallets, random checks.

Founders are invested in SwissRex Crypto Fund.

### **Information on the market offer considered**

The financial institution defines the investment universe for each strategy it manages based on strategy-specific parameters and tries to make the best possible choice for the client when selecting financial instruments or Crypto tokens.